

New York State Department of State
Division of Corporations Business Filings Overview

General Description

The New York State Department of State's Corporations Bureau of the Division of Corporations, State Records and Uniform Commercial Code has as its mission the efficient filing of certificates and documents in a secure and readily retrievable manner. The Division files and maintains documents relating to business and not-for-profit corporations that either are formed under the laws of New York State or are authorized to do business under state law. There are more than two million of these business entities, ranging from very small (one employee) to some of the largest companies in the world (tens of thousands of employees).

Often, the filing of a formation document is the first contact a business will have with New York State. Thus, the Division represents the leading edge of the state's economic development. Included in this dataset is information relevant to:

- Limited Liability Companies;
- Business Corporations;
- Cooperative Corporations;
- Limited Partnerships;
- Not-for-Profit Corporations;
- Registered Limited Liability Partnerships,
- Religious Corporations and
- Professional Limited Liability Companies.

Detailed information regarding filing requisites for all business filings may be accessed from the Division's homepage at <http://www.dos.ny.gov/corps/index.html>. (Businesses which do not file with the Division and thus are not included in this dataset, are sole proprietorships and general partnerships. For more information, see reference brochure for "Forming a Business in New York: An Overview.")

In addition to a business' initial filing, certain subsequent business actions, activities and statuses are memorialized by recording with the Division. Prominent among such filings are amendments to certificates of incorporation, mergers and consolidations; biennial statements updating certain business information including the proper address for service of process; affidavits of publication for LLCs, and dissolutions. The Division annually files in excess of half a million documents.

Data Collection Methodology

As documents are filed, they are logged into a database for tracking purposes. The type of filing, e.g., whether it is a certificate of incorporation, amendment, certificate of dissolution, etc., is recorded and assigned a "code," either textual or numeric. An amendment to a certificate of incorporation is, for

instance, assigned the code of "02", while a biennial statement amendment is assigned "48." All such filings are associated with the type of business which has submitted them. A discrete text code is assigned to each business type: e.g., "A" means Limited Liability Company and "B" means Business Corporation. The entire code string for a filing, including the code for the kind of entity which made the filing, is entitled the "Full Certificate Code." Demographic information setting forth the quantity of filings by type by month and year are set forth in this dataset. The dataset runs from 1991 through the current date. The data is updated monthly.

Limitations of Data

This dataset has no known limitations.